

ICA Certificate in Financial Crime Prevention Syllabus

What is Financial Crime?

- Defining financial crime
- Types of financial crime
- Who commits financial crime?
- Why do people commit financial crime?

What are the Financial Crime Risks?

- Common behaviour characteristics of fraudsters
- Common warning signs

Fraud Controls

- Antifraud strategies
- Risk assessment
- Effective systems and controls in practice
- The importance of an antifraud culture

Banking – Fraud Typologies

- Customer account fraud
- Deposit fraud
- Lending and credit fraud

Identity Theft and Cyber Crime

- Identity theft and fraud
- Computer and internet fraud

Bribery and Corruption

- The nature of bribery and corruption
- International initiatives to combat bribery and corruption
- Political leaders and financial crime

Fraud Response Policy

- Objectives of a fraud response plan
- Fraud reporting channels and whistle-blower protection
- The fraud response plan and contingency planning

The English Legal System – A Case Study

- Theft offences
- Fraud offences
- The insider dealing offence
- The market abuse offence
- Money Laundering offences